# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant

Hideaki AOCHI

U.S. Serial No.

Not Yet Assigned

Filing Date

October 31, 2003

For

SEMICONDUCTOR INTEGRATED CIRCUIT DEVICE INCORPORATING MEMORY CELL TRANSISTOR AND

LOGIC TRANSISTOR, AND METHOD OF

MANUFACTURING THE SAME

Group Art Unit

Not Yet Assigned

745 Fifth Avenue

New York, New York 10151

#### EXPRESS MAIL

Mailing Label Number:

EV206803010US

Date of Deposit:

October 31, 2003

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" Service under 37 CFR 1.10 on the date indicated above and is addressed to: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

(Typed or printed name of person mailing paper or fee)

(Signature of person mailing paper or fee)

### INFORMATION DISCLOSURE STATEMENT

Mail Stop Patent Application Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

Sir:

The Examiner's attention is respectfully invited to review the following enclosed and listed documents which are also listed on the accompanying Form PTO-1449, enclosed in duplicate.

## **U.S. PATENT DOCUMENTS**

1. U.S. Patent No. 6,339,237 B1, Issued: January 15, 2002, to Nomachi et al.

## FOREIGN PATENT DOCUMENTS

2. Japanese Patent Laid-Open No. 2000-232076, Published: August 22, 2000.

# **REMARKS**

Pursuant to Rule 37 C.F.R. §1.97(b)(3), an Information Disclosure Statement shall be considered by the Patent Office filed before the mailing date of a first Office Action on the merits.

This Information Disclosure Statement is not a representation that the documents cited herein are considered most pertinent, or that a search has been undertaken, or that the cited documents are indeed prior art. The Examiner is invited to undertake an independent search.

Applicant asserts that the claimed invention is patentable over these documents.

Applicant respectfully requests that the Examiner consider and make of record the documents cited herein and that a copy of Form PTO-1449, appropriately initialed by the Examiner, be returned to Applicant's attorney.

It is believed no fee is due, however, the Examiner is authorized to charge any deficit or credit any overpayment to Deposit Account No. 50-0320.

Respectfully submitted,

FROMMER LAWRENCE & HAUG LLP Attorneys for Applicant

By:

Grace L. Pan

Registration No. 39,440

Tel. (212) 588-0800

Fax (212) 588-0500

									Sheet 1 of 1
Based on Form PTO-1449 (3/90)					ATTY. DOCKET NO.		SERIAL NO.		
					790001-2039		Not Yet Assigned		
LIST OF REFERENCES CITED BY APPLICANT					APPLICANT				
(Use several sheets if necessary)					Hideaki AOCHI				
					FILING DATE	GROUP			
					October 31, 2003		Not Yet Assigned		
U.S. PATENT DOCUMENTS									
EXAMINER INITIAL		DOCUMENT NUMBER	DATE		NAME	CLASS	SUBCLASS	LASS FILING DATE IF APPROPRIATE	
	AA	6,339,237 B1	1/15/02	Nomachi et al.					
						<u> </u>			
		·	FO	REIGN P.	ATENT DOCUMENTS		<u>,                                      </u>		
		DOCUMENT NUMBER	DATE		COUNTRY	CLASS	SUBCLASS	TRANSLATION	
								YES	NO
	AB	2000-232076	8/22/00		JAPAN				х
		:		· · · · · · · · · · · · · · · · · · ·					
				<del></del>					
OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)									
_									
									-
									***
					· · · · · · · · · · · · · · · · · · ·				-
					·				
T		EXAMINER							· · · · -
		THE WALL VALLE							
					DATE CONSIDERED				
* EXAMINER: citation if not i	Initial if refe	rence considered, whether or not one and not considered. Include cop	citation is in conform	nance with	h MPEP 609. Draw line through nunication to applicant.				